# 10

#### CALTRANS

#### STATE OF CALIFORNIA

#### DEPARTMENT OF TRANSPORTATION

#### BID

FOR CONSTRUCTION ON STATE HIGHWAY IN TUOLUMNE COUNTY AT VARIOUS LOCATIONS In District 10 On Route 108

#### UNDER

Notice to Bidders and Special Provisions dated March 24, 2025

Project plans approved February 24, 2025

Standard Specifications dated 2024

Standard Plans dated 2024

Identified by

Contract No. 10-1S6004

10-Tuo-108-R0.0,R4.9

Project ID 1024000101

#### Electronic Bidding Contract

Bids open Thursday, April 17, 2025

Dated March 24, 2025

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION BID TO THE DEPARTMENT OF TRANSPORTATION DES-OE-0102.1(REV 1/13/2017)

NAME OF BIDDER Serafix Engineering Contractors, Inc

BUSINESS STREET ADDRESS 7 Crow Canyon Court Suite 250

CITY, STATE, ZIP San Ramon, CA, 94583

TELEPHONE NO. with AREA CODE

FAX NO. with AREA CODE

### CONTRACTOR LICENSE NO. 1019229

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000044417

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

#### Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 0

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following: 5.1 Criminal prosecution 5.2 Rejection of the bid 5.3 Rescission of the award 5.4 Termination of the Contract

BY (Authorized Signature) ; DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CO	DE QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1 BID ITEM LIST					
0001	070030	1.000 LEAD COMPLIANCE PLAN	LS	\$3,000.00	\$3,000.00
0002	090100	20.000 TIME-RELATED OVERHEAD (WDAY		\$2,000.00	\$40,000.00
0003	120090	1.000 CONSTRUCTION AREA SIGNS	LS	\$5,000.00	\$5,000.00
0004	120100	1.000 TRAFFIC CONTROL SYSTEM	LS	\$10,000.00	\$10,000.00
0005	128652	1.000 PORTABLE CHANGEABLE MESSAGE		\$10,000.00	\$10,000.00
0006	130100	1.000 JOB SITE MANAGEMENT	LS	\$120,000.00	\$120,000.00
0007	130201	1.000 WATER POLLUTION CONTROL PRO	-	\$5,000.00	\$5,000.00
0008	130900	1.000 TEMPORARY CONCRETE WASHOUT	LS	\$10,000.00	\$10,000.00
0009	600003	310.000 INJECT CRACK (EPOXY)	LF	\$280.00	\$86,800.00
0010	705027	1.000 42" STEEL FLARED END SECTIO		\$10,000.00	\$10,000.00
0011	710167	1.000 REMOVE FLARED END SECTION (		\$5,000.00	\$5,000.00
0012	710360	310.000 CLEANING, INSPECTING, AND P		\$50.00 F)	\$15,500.00
0013	710908	17.000 MINOR CONCRETE (INVERT PAVI		\$4,500.00	\$76,500.00
0014	731502	0.200 MINOR CONCRETE (MISCELLANEO		\$15,000.00	\$3,000.00
Section 1 Total					\$399,800.00
Item Total					\$399,800.00

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION SUBCONTRACTOR LIST DES-OE-0102.2C(REV. 3/2015)

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

- Item % Description
- 6 75 striping
- 42 15 reinforcement
- 54 100

12 100 13 100

2) List this subcontractor? • YES • NO Business Name ICHOR RESTORATION Location City PRUNEDALE State CA California Contractor License Number 850552 Public Works Contractor Registration Number 1000005796 Portion of Work Subcontracted Item % Description 9 100

3) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

4) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

- 5) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 6) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 7) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 8) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 9) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 10) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description

- 11) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description
- 12) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 13) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 14) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 16) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description

Contract ID: 10-1S6004CALIFORNIA DEPARTMENT OF TRANSPORTATIONLetting ID: 10-1S6004Bidder: Serafix Engineering Contractors, IncBidder ID: VC1700002004

- 18) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 19) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description
- 20) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 21) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 23) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number

Contract ID: 10-1S6004	CALIFORNIA DEPARTMENT OF TRANSPORTATION	Letting ID: 10-1S6004
Bidder: Serafix Engineering Contractors,	Inc	Bidder ID: VC1700002004

Portion of Work Subcontracted Item % Description

24) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

- 25) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description
- 27) List this subcontractor? YES NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 28) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 29) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

- 30) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 31) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description
- 32) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 33) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 34) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 35) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description

- 37) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 38) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 39) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 41) List this subcontractor? O YES O NO
  Business Name Location City State
  California Contractor License Number Public Works Contractor Registration Number
  Portion of Work Subcontracted
  Item % Description
- 42) List this subcontractor? 🔿 YES 🔿 NO

California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

- 43) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 44) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 45) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 47) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 48) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted

Contract ID: 10-1S6004 CALIFORNIA DEPARTMENT OF TRANSPORTATION Bidder: Serafix Engineering Contractors, Inc

#### Item % Description

49) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

- 50) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 51) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 52) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 53) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 54) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

- 55) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 56) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 57) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 58) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 59) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description
- 60) List this subcontractor? O YES O NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item % Description

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE DES-OE-0102.7 (NEW 2/2010)

#### COMPLETE ONE OF THE FOLLOWING:

#### \* SMALL BUSINESS PREFERENCE

The undersigned requests small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Small Business Certification (Reference) Number 2003487

Date: 4/17/25

#### Signature:

\* NON-SMALL BUSINESS PREFERENCE

The undersigned non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS).The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date:

Signature:\_\_\_\_\_

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION CALIFORNIA COMPANY PREFERENCE DES-OE-0102.9 (NEW 11/2008)

This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.

The undersigned certifies that it is a "California company" as defined in Pub Cont Code  $\S6107$  and meets <u>one</u> of the following (check appropriate box and enter requested information):

⊙ I am a California company which has its principal place of business in California.

OI am a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts. Name of State

or OI am a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid. Name of State California Sales or Use Tax No.

or

#### The undersigned certifies that it is not a "California Company."

**C**I am not a California company. My principal place of business is in: (Enter state or country) Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business. Describe

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date:

Signature of Bidder:

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS DES-OE-01012.a (REV 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

Signature of Bidder: \_\_\_\_\_

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS DES-OE-0102.4 (REV 1/2024)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

• YES • NO Certification number?: 2003487

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

• YES • NO Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:

• YES • NO Certification number?:

Note: This questionnaire is included for statistical reporting only.

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE DOT OCR-0011 (REV 01/2024)

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be used for nonsmall business preference on this project. Failure to submit a completed Certified Small Business Listing for the Non-Small Business Preference form by 4 p.m. on the 4th business day after bid opening will result in a nonresponsive bid. Attach additional sheets if necessary. Submit to:

> MS 43 Office Engineer Department of Transportation 1727 30th Street Sacramento, CA 95816-7005

#### Non-Small Business Preference-Certification

As an authorized representative of the bidder, if the bidder is awarded the contract, the bidder is committed to use the small businesses shown on this form to meet the non-small business preference. The work to be performed in fulfillment of the contract requirements will be Commercially Useful Function (CUF) complaint in accordance with the requirements in Government Code section 14837, subdivision(d)(4). I certify under penalty of the perjury that the foregoing is true and correct.

Bidder's Authorized Representative Name

Bidder's Authorized Representative Signature DATE Email Address Total Claimed Participation for Non-Small Business Preference: \$ Total Claimed Participation for Non-Small Business Preference: % Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount : Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount : Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount :

Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount :

Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount :

Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount :

Bid Item Number: Description of Work, Service, or Materials: Certified Small Business Name: Telephone No. : Certification No. : \$ Amount :

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION CERTIFICATIONS

#### UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

#### NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows: State of CA County of CONTRA COSTA

#### Name: LARRY E BRANDT ,

being first duly sworn, deposes and says that he or she is PRESIDENT of SERAFIX ENGINEERING CONTRACTORS INC

the party making the foregoing bid that the bid is not made in the interest or on behalf of, any undisclosed person, partnership, company, of, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

#### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that: 1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment

Development Department.

#### NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? • YES • NO

If the answer is yes, explain the circumstances in the following space.

#### ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Chas Chas not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities? • YES • NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:4.1. Date of each nonresponsibility determination4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

#### Executive Order N-6-22 - Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,

that shall be grounds for rejection of the Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

END CERTIFICATIONS - STATE

# Bidder's Bond

## DES-OE-0102.3(REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: <u>http://www.dot.ca.gov/hq/esc/oe/</u><u>electronic bidding/electronic bidding.html</u>. Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA0407723080 Verify Clear

Bond Registry: Surety2000

Bond Percent: 10

STATE OF CALIFORNIA - DEPARTMENT OF	' TRANSPORTATION	Contract No.	10-1S6004
BIDDER'S BOND	Bond No.		
DES-OE-0102.3(REV 3/2008)			
We			

as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

#### THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN TUOLUMNE COUNTY AT VARIOUS LOCATIONS In District 10 On Route 108 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Thursday, April 17, 2025

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated\_\_\_\_\_, 20\_\_\_\_\_.

Principal

Suretv

Address of Surety (For correspondence or claims)

By

#### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California		
County of		
On this Date	pefore me,, Here Insert Name and Title of the Office	r
personally appeared	Name(s) of Signer(s)	

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature

Signature of Notary Public



# **Bid Bond in Accordance with Contract Specifications**

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

PRINCIPAL NAME	PRINCIPAL ADDRESS
Serafix Engineering Contractors, Inc.	7 Crow Canyon Court #250, San Ramon, CA 94583
SURETY NAME	SURETY ADDRESS
Western Surety Company	151 N Franklin Street, Chicago, IL 60606
OBLIGEE NAME	OBLIGEE ADDRESS
State of California, Department of Transportation	1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

# **Bond Information**

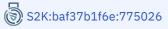
BID DATE	CONTRACT ID	CONTRACT VENDOR ID
04/17/2025	10-1S6004	VC1700002004

#### PROJECT DESCRIPTION

FOR CONSTRUCTION ON STATE HIGHWAY IN TUOLUMNE COUNTY AT VARIOUS LOCATIONS

AMOUNT OF BID SECURITY	AMOUNT OF BID SECURITY-SPELLED OUT Ten Percent (10%) ot total amount bid	
BOND ENTERED AND EXECUTED BY		ATTORNEY-IN-FACT SIGNATURE
Rossio Polio		Rossio Polio

Know all men by these presents that <u>Western Surety Company</u>, a Corporation duly organized under the laws of the State of <u>South Dakota</u>, are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.



Copyright of Surety2000



# Western Surety Company

#### POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

SCA0407723080

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint Rossio Polio

, Individually

of, California , its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

#### - In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the Authorizing By-Laws and Resolutions printed at the bottom of this page, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 10th day of January, 2024.

State of South Dakota County of Minnehaha

On this 10th day of January, 2024, before me personally came Larry Kasten, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

March 2, 2026

M. BENT NOTARY PUBLIC SEAL SOUTH DAKOTA

M.	Bent
<b>/</b> / .	Jen

M. Bent, Notary Public

#### CERTIFICATE

I. Paula Kolsrud, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law and Resolutions of the corporation printed below this certificate are still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 04/07/2025



WESTERN SU	RETY COMPANY
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(shula	Kolorud
	Paula Kolsrud Assistant Secretary

WESTERN SURETY COMPANY

Larry Kasten, Vice President

Authorizing By-Laws and Resolutions

#### ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

This Power of Attorney is signed by Larry Kasten, Vice President, who has been authorized pursuant to the above Bylaw to execute power of attorneys on behalf of Western Surety Company.

This Power of Attorney may be signed by digital signature and sealed by a digital or otherwise electronic-formatted corporate seal under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 27th day of April, 2022:

"RESOLVED: That it is in the best interest of the Company to periodically ratify and confirm any corporate documents signed by digital signatures and to ratify and confirm the use of a digital or otherwise electronic-formatted corporate seal, each to be considered the act and deed of the Company.

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.

Form F4280-6-2023

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